

21 Rec'd PCT/PTO 12 MAY 1995

PCT/US93/11060

08/436339

- 1 -

PERIPHERALIZATION OF HEMATOPOIETIC STEM CELLS

B
NAJ
12/14/97

This application is a national stage application under U.S.C. 371 of PCT/US93/11060, filed 11/15/93, which is a continuation-in-part of 07/977,702, filed 11/13/92, now abandoned.
Field Of The Invention

The invention relates to the manipulation of
5 hematopoietic stem cells. More particularly, the invention relates to methods for increasing the number of hematopoietic stem cells in peripheral blood.

BACKGROUND OF THE INVENTION

Hematopoietic stem cells are primitive,
10 uncommitted progenitor cells that give rise to the lymphoid, myeloid and erythroid lineages of cells in blood. The stem cell population constitutes only a small proportion of the total cells in bone marrow and represents even a far more minuscule proportion of the
15 cells in peripheral blood.

Stem cells have commonly been characterized by their surface antigenic determinants. Tsukamoto et al., U.S. Patent No. 5,061,620 (1991), teaches that a highly stem cell concentrated cell composition is
20 CD34⁺, CD10⁻, CD19⁻ and CD33⁻. Leon et al., Blood 77:1218-1227 (1991), teaches that about one per cent of CD34⁺ cells, or about 0.01% of the total marrow cell population, do not express differentiation antigens, such as CD33 (myeloid lineage), CD71 (erythroid
25 lineage) or CD10 and CD5 (lymphoid B and T lineage), and that reduced expression of CD34 expression during

- 2 -

maturation is associated with increased expression of the differentiation antigens.

Combinations of antigenic and functional characteristics have also been used to characterize stem cells. Sutherland et al., Proc. Natl. Acad. Sci. USA 87:3584-3588 (1990), teaches that primitive stem cells do not bind to soybean agglutinin; express high levels of CD34, form blast colonies with high plating efficiency and are enriched in long-term culture initiating cells (LTC-IC). Craig et al., Blood Reviews 6:59-67 (1992), teaches that the CFU-GM assay is the most widely used measure of the hematopoietic progenitor viability of a bone marrow or peripheral blood stem cell harvest, and correlates well with per cent CD34⁺. Spangrude, Immunology Today 10:344-350 (1989), teaches that stem cells accumulate low levels of rhodamine 123 relative to other bone marrow cell types. Chaudhary et al., Cell 66:85-94 (1991), teaches that stem cells express high levels of P-glycoprotein relative to other marrow cell types.

The ability to manipulate hematopoietic stem cells has become increasingly important in the development of effective chemotherapeutic and radiotherapeutic approaches to the treatment of cancer. Current approaches to chemotherapy and radiotherapy utilize bone marrow transplantation (BMT). BMT involves removing one to two liters of viable pelvic bone marrow containing stem cells, progenitor cells and more mature blood cells, treating the patient with chemotherapy or radiotherapy to kill tumor cells, and reintroducing bone marrow cells intravenously. BMT, however, suffers from many disadvantages. Harvesting of BM for BMT requires general anaesthesia, which increases both risk and cost. In addition, if cancer cells are present in the marrow and are not rigorously

3

- 3 -

purged, recurrence of the disease is a distinct risk. Also, if widespread invasion of bone marrow by cancer cells (myeloma, Waldenstrom's macroglobulinemia) is present, peripheral blood cells are the only option for
5 use in autologous transplantation (ABMT). Finally, patients who have undergone pelvic irradiation are not candidates for ABMT.

As a result of these difficulties, much interest has been developed in providing methods for
10 obtaining stem cells from peripheral blood for autologous supply of stem cells to patients undergoing chemotherapy. Autologous supply of stem cells from peripheral blood would allow the use of greater doses of chemo- or radiotherapy, but with less risk than BMT.
15 In addition, the use of stem cells from peripheral blood does not require anaesthesia to obtain the stem cells. Also, Lowry, Exp. Hematol. 20:937-942 (1992), teaches that cancer cells in the marrow tend not to peripheralize. The critical limitation in such a
20 procedure, however, lies in the very small number of stem cells ordinarily present in peripheral blood. Lobo et al., Bone Marrow Transplantation 8:389-392 (1991), teaches that addition of peripheral blood stem cells collected in the absence of any peripheralization
25 techniques does not hasten marrow recovery following myeloablative therapy. In contrast, Haas et al., Exp. Hematol. 18:94-98 (1990), demonstrates successful autologous transplantation of peripheral blood stem cells mobilized with recombinant human granulocyte-
30 macrophage colony-stimulating factor (GM-CSF). Thus, increasing the number of stem cells in peripheral blood by peripheralization techniques is critical to the success of procedures utilizing peripheral blood as a source for autologous stem cell transplantation. Other
35 cytokines may be useful in this regard. Rowe and

4

- 4 -

Rapoport, J. Clin. Pharmacol. 32:486-501 (1992), suggests that in addition to GM-CSF, other cytokines, including macrophage colony-stimulating factor (M-CSF), granulocyte colony-stimulating factor (G-CSF),
5 erythropoietin, interleukins-1, -2, -3, -4 and -6, and various interferons and tumor necrosis factors have enormous potential.

Another approach to autologous transplantation is to purify stem cells from peripheral
10 blood using immunoaffinity techniques. These techniques hold promise not only for autologous stem cell transplantation in conjunction with chemotherapy, but also for gene therapy, in which purified stem cells are necessary for genetic manipulation to correct
15 defective gene function, then reintroduced into the patient to supply the missing function. However, Edgington, Biotechnology 10:1099-1106 (1992), teaches that current procedures require three separate four hour sessions to process enough cells in the absence of
20 peripheralization. DePalma, Genetic Engineering News, Vol. 12, May 1, 1992, teaches that this can be improved by treatment with G-CSF for peripheralization.

These studies underscore the importance of developing new methods to effect the peripheralization
25 of hematopoietic stem cells. One possibility is to search for new ways to release stem cells from the bone marrow environment into the periphery. Unfortunately, little is known about the types of molecular interactions that hold hematopoietic stem cells in the
30 marrow environment in vivo. Recently, some in vitro studies have been undertaken to look at the role of integrins, fibronectin, and other surface antigens in binding between stem cells and bone marrow stromal cells.

5

4434

- 5 -

Integrins are a large family of integral membrane glycoproteins having over 16 heterodimeric members that mediate interactions between cells, interactions between cells and the extracellular matrix, and interactions involved in embryonic development and regulation of T-cell responses. Among integrins, the VLA-5 ($\alpha^5\beta_1$) complex is widely distributed and functions as a receptor for fibronectin. The VLA-4 ($\alpha^4\beta_1$) complex is expressed at substantial levels on normal peripheral blood B and T cells, thymocytes, monocytes, and some melanoma cells as well as on marrow blast cells and erythroblasts. Ligands for VLA-4 are vascular cell adhesion molecule-1 (VCAM-1) and CS-1, an alternately spliced domain within the Hep II region of fibronectin. Another group of integrins (CD11a/CD18, CD11b/CD18, and CD11c/CD18) share the common β_2 chain and are variably expressed on peripheral T cells, monocytes, and mature granulocytes. Ligands for β_2 -integrins include members of the Ig superfamily (ICAM-1 and ICAM-2) found on activated endothelial cells.

Issekutz, J. Immunol. 147:4178-4184 (1991), discloses that TA-2, a monoclonal antibody to rat VLA-4, inhibits the in vivo migration, of small peritoneal exudate lymphocytes and lymphocytes from peripheral lymph nodes, from the blood across the vascular endothelium to sites of inflammation. This document also observes that systemic treatment of rats with TA-2 was accompanied by an increase in total blood lymphocyte count.

Taixido et al., J. Clin. Invest. 90:358-367 (1992), teaches that in an in vitro model, interactions between VLA-4/VCAM-1, VLA-5/fibronectin and β_2 -integrin/ICAM-1 are all important for adhesion between bone marrow stromal cells and cells expressing

AMENDED SHEET

6

- 5 - 6

high levels of CD34. Simmons et al., Blood 80:388-395 (1992), teaches that in an in vitro model, adhesion between stromal cells and CD34⁺ cells was predominantly dependent on the VLA-4/VCAM-1 interaction and was
5 largely inhibited by monoclonal antibodies to either VLA-4 or VCAM-1, with fibronectin playing a minor role in binding. Williams et al., Nature 352:438-441 (1991), using in vivo mouse studies, teaches that adhesion of murine hematopoietic stem cells to stromal

AMENDED SHEET

7

7
- 8 -

cell extracellular matrix (ECM) is partly promoted by proteolytic fragments of fibronectin containing an alternatively spliced region of the IIICS domain, and suggests that the interaction is likely to be mediated
5 by VLA-4. All of these studies utilized antibodies to prevent adherence between stem cells and their microenvironment. However, none have analyzed whether such interactions are reversible, or perturbable after adherence has taken place. These results indicate the
10 need for further studies to determine what interactions between the bone marrow environment and hematopoietic stem cells are responsible for keeping the stem cells within that environment in vivo and whether such interactions can be perturbed to effect
15 peripheralization of stem cells.

There is, therefore, a need for new methods for peripheralizing stem cells, both for scientific investigatory purposes for understanding the processes of peripheralization and homing, and for the
20 development of better methods of peripheralization for autologous stem cell transplantation in the course of cancer treatment or gene therapy. Preferably, such methods should produce even higher levels of stem cells in peripheral blood than existing methods provide.

25 BRIEF SUMMARY OF THE INVENTION

In a first aspect, the invention provides a novel method for increasing the number of hematopoietic stem cells and CD34⁺ cells in peripheral blood, which is also known as "peripheralization" or "mobilization"
30 of hematopoietic stem cells and CD34⁺ cells. This method comprises the step of administering a blocking agent of VLA-4 antigens on the surface of hematopoietic stem cells and CD34⁺ cells. Various agents can be used to mediate such blocking, including anti-VLA-4 or anti-

8

VCAM-1 antibodies which may optionally be single chain, humanized or chimeric, Fab, Fab', F(ab')₂ or F(v) fragments thereof, heavy or light chain monomers or dimers thereof, or intermixtures of the same, soluble
5 fibronectin, CS-1 peptides or fibronectin peptides containing the amino acid sequence EILDV or conservatively substituted amino acid sequences, or soluble VCAM-1, bifunctional VCAM-1/Ig fusion proteins or VCAM-1 peptides.

10 In another aspect, the invention provides a novel method for peripheralizing hematopoietic stem cells and CD34⁺ cells with more predictable greater effectiveness than cytokine treatment alone provides. According to this aspect of the invention, the method comprises
15 administering a blocking agent of VLA-4 antigens on the surface of hematopoietic stem cells and CD34⁺ cells, as in the first aspect of the invention, in combination with a stimulating agent of hematopoietic stem cell proliferation. The step of administering a stimulating
20 agent of hematopoietic stem cell proliferation can be carried out by using a cytokine, preferably G-CSF, stem cell factor, totipotent stem cell factor, stem cell proliferation factor or GM-CSF, but alternatively M-CSF, erythropoietin, interleukins-1, -2, -3, -4, -6, or
25 11.

In another aspect, the invention provides an improved method of transplanting peripheral blood stem cells into a patient who has undergone chemotherapy or radiotherapy for cancer. In this method, prior to the
30 administration of myeloablative chemotherapy or radiotherapy, stem cells are peripheralized from the patient's bone marrow by administration of an agent that mediates blocking of VLA-4 antigens on the surface of hematopoietic stem cells and CD34⁺ cells. This
35 agent may be administered alone, or preferably in

9
- 8 -

conjunction with an agent that stimulates proliferation of stem cells. The peripheralized stem cells are then collected from peripheral blood by leukapheresis. Stem cells are then enriched from the collected
5 peripheralized blood by immunoadsorption using anti-CD34 antibodies. Optionally, the enriched stem cells are then expanded ex vivo by culturing them in the presence of agents that stimulate proliferation of stem cells. Following administration of myeloablative
10 chemotherapy or radiotherapy, the enriched, and optionally expanded stem cells are then returned to the patient's circulating blood and allowed to engraft themselves into the bone marrow.

In another aspect, the invention provides an
15 improved method of transplanting peripheral blood stem cells into a patient who has undergone myeloablative chemotherapy or radiotherapy for AIDS. This method involves the same steps as described for transplanting peripheralized stem cells into a patient who has
20 undergone chemotherapy or radiotherapy for cancer. In addition, this method further optionally involves administration to the patient of anti-HIV agents, such as antivirals such as AZT, soluble CD4, and CD4-directed blockers of the AIDS virus or antisense or
25 antigene oligonucleotides, both before and after the return of the enriched and optionally expanded stem cells to the patient's circulating blood. This step serves a "mopping up" function to prevent residual virus from infecting the progeny of the newly returned
30 stem cells.

In another aspect, the invention provides an improved method for carrying out gene therapy in patients having various genetic and acquired diseases. In this method, stem cells are peripheralized from the
35 patient's bone marrow by administration of an agent

10

10
- 8 -

that mediates blocking of VLA-4 antigens on the surface of hematopoietic stem cells and CD34⁺ cells. As in the method previously described herein, this agent may be administered alone or in conjunction with an agent that stimulates proliferation of stem cells. Peripheral blood is then collected by leukapheresis. Stem cells are then enriched from the collected peripheral blood by immunoadsorption using anti-CD34 antibodies. Optionally, the enriched stem cells are then expanded ex vivo by culturing them in the presence of agents that stimulate proliferation of stem cells. The enriched and optionally expanded stem cells are then transduced with an amphotrophic retroviral vector, or other suitable vectors, that expresses a gene that ameliorates the genetic or acquired disease. Optionally, the vector may also carry an expressed selectable marker, in which case successfully transduced cells may be selected for the presence of the selectable marker. The transduced and optionally selected stem cells are then returned to the patient's circulating blood and allowed to engraft themselves into the bone marrow.

It is an object of the invention to provide a method for peripheralizing hematopoietic stem cells and CD34⁺ cells as an experimental model for investigating hematopoiesis, homing of stem cells to the bone marrow, and cytokine-induced peripheralization of stem cells. It is a further object of the invention to provide a method for optimizing peripheralization of hematopoietic stem cells and CD34⁺ cells to provide stem cell-enriched peripheral blood for autologous transplantation following chemo- or radiotherapy. It is a further object of the invention to provide a method for peripheralizing CD34⁺ cells to maximize the yield of purified hematopoietic stem cells and

11
- 10 -

progenitor cells from peripheral blood, either for autologous transplantation of the stem cells following chemo- or radiotherapy, or for use in gene therapy. It is a further object of the invention to provide a method for peripheralizing stem cells and CD34⁺ cells without risk of causing cytokine-induced cell differentiation of normal stem cells or proliferation of contaminating leukemia cells. It is a further object of the invention to provide a peripheralization technique that has predictable timing for the peak of progenitor content in peripheral blood for scheduling leukapheresis.

The invention satisfies each of these objects by providing a method for peripheralizing stem cells and CD34⁺ cells by administering a blocking agent of VLA-4 antigen on the surface of hematopoietic stem cells. This effect can be increased by the use of such blocking agents in conjunction with approaches to amplify stem cells to produce a synergistic effect.

20 BRIEF DESCRIPTION OF THE DRAWINGS

Just
Dub
Q3
30 Figure 1 shows profiles of both total white blood cells and CFU in peripheral blood before and after treatment of macaques (panels A and C) or a baboon (panel B) with anti-VLA-4 antibodies (murine monoclonal antibody HP1/2). Dashed lines represent total white blood cell counts, as recorded on the right vertical axes. Cross-hatched boxes represent CFU-GM, as recorded in the left vertical axes. Black boxes represent BFUe, as represented on the left vertical axes. Downward-pointing arrows represent points of administration of antibody. Horizontal axes represent days before and after first administration of anti-VLA-4 antibody.

12

13
- 12 -

sequences from murine HP1/2 transplanted therein. Panel A shows the transplanted V_H sequence. Panel B shows the transplanted V_K sequence.

5 Figure 8 shows the nucleotide sequences encoding the variable regions of the heavy and light chains of the humanized anti-VLA-4 antibody hHP1/2. Panel A is the nucleotide sequence encoding the V_H region. Panel B is the nucleotide sequence encoding the V_K region.

10 Figure 9 shows results of treatment with humanized anti-VLA-4 antibody hHP1/2. Symbols are as described for Figure 3.

15 Figure 10 shows results of treatment with murine Fab fragments of anti-VLA-4 antibody HP1/2. Symbols are as described for Figure 3.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The invention relates to the manipulation of hematopoietic stem cells. More particularly, the invention relates to the peripheralization of
20 hematopoietic stem cells and other $CD34^+$ cells.

In a first aspect, this invention provides a method for peripheralizing hematopoietic stem cells and $CD34^+$ cells, comprising the step of administering a blocking agent of VLA-4 antigens on the surface of
25 hematopoietic stem cells and $CD34^+$ cells. For purposes of this invention, the term "blocking agent of VLA-4 antigens" is intended to mean an agent that is capable of interfering with interactions between VLA-4 antigens and either VCAM-1 or fibronectin on the surface of
30 stromal cells or in the extracellular matrix (ECM). As demonstrated herein, such blocking of VLA-4 antigens causes peripheralization of stem cells and $CD34^+$ cells. This demonstration utilized a monoclonal antibody against VLA-4 as a blocking agent. Those skilled in

13

14
- 23 -

the art will recognize that, given this demonstration, any agent that can block VLA-4 antigens can be successfully used in the method of this invention. Thus, for purposes of this invention, any agent capable
5 of blocking VLA-4 antigens on the surface of hematopoietic stem cells is considered to be an equivalent of the monoclonal antibody used in the examples herein. For example, this invention contemplates as equivalents at least peptides, peptide
10 mimetics, carbohydrates and small molecules capable of blocking VLA-4 antigens on the surface of CD34⁺ cells or hematopoietic stem cells.

In a preferred embodiment, the blocking agent that is used in the method of this invention to block
15 VLA-4 antigens on the surface of hematopoietic stem cells and CD34⁺ cells is a monoclonal antibody or antibody derivative. Preferred antibody derivatives include humanized antibodies, chimeric antibodies, single chain antibodies, Fab, Fab', F(ab')₂ and F(v)
20 antibody fragments, and monomers or dimers of antibody heavy or light chains or intermixtures thereof. The successful use of monoclonal antibody OKT3 to control allograft rejection indicates that, although humanized antibodies are preferable, murine monoclonal antibodies
25 can be effective in therapeutic applications. Monoclonal antibodies against VLA-4 are a preferred blocking agent in the method according to this invention. Human monoclonal antibodies against VLA-4 are another preferred blocking agent in the method
30 according to the invention. These can be prepared using in vitro-primed human splenocytes, as described by Boerner et al., J. Immunol. 147:86-95 (1991). Alternatively, they can be prepared by repertoire cloning as described by Persson et al., Proc. Natl.
35 Acad. Sci. USA 88:2432-2436 (1991) or by Huang and

14

15
- 14 -

Stollar, J. of Immunol. Methods 141:227-236 (1991).
Another preferred blocking agent in the method of the
present invention is a chimeric antibody having anti-
VLA-4 specificity and a human antibody constant region.
5 These preferred blocking agents can be prepared
according to art-recognized techniques, as exemplified
in U.S. Patent No. 4,816,397 and in Morrison et al.,
Proc. Natl. Acad. Sci. USA 81:6851-6855 (1984). Yet
another preferred blocking agent in the method of this
10 invention is a humanized antibody having anti-VLA-4
specificity. Humanized antibodies can be prepared
according to art-recognized techniques, as exemplified
in Jones et al., Nature 321:522 (1986); Riechmann,
Nature 332:323 (1988); Queen et al., Proc. Natl. Acad.
15 Sci. USA 86:10029 (1989); and Orlandi et al., Proc.
Natl. Acad. Sci. USA 86:3833 (1989). Those skilled in
the art will be able to produce all of these preferred
blocking agents, based upon the nucleotide sequence
encoding the heavy and light chain variable regions of
20 HP1/2 [SEQ. ID. NOS. 1 and 2], as shown in Figure 5,
using only well known methods of cloning, mutagenesis
and expression (for expression of antibodies, see,
e.g., Boss et al., U.S. Patent No. 4,923,805). Two
other preferred blocking agents are single chain
25 antibodies, which can be prepared as described in U.S.
Patent No. 4,946,778, the teachings of which are hereby
incorporated by reference; and biosynthetic antibody
binding sites, which can be prepared as described in
U.S. Patent No. 5,091,513, the teachings of which are
30 hereby incorporated by reference. Those skilled in the
art will recognize that any of the above-identified
antibody or antibody derivative blocking agents can
also act in the method of the present invention by
binding the receptor for VLA-4, thus acting as agents
35 for blocking the VLA-4 antigen on the surface of

16
- 15 -

hematopoietic stem cells, within the meaning of this term for purposes of this invention. Thus, antibody and antibody derivative blocking agents according to this invention, as described above, include embodiments
5 having binding specificity for VCAM-1 or fibronectin, since these molecules appear to either be important in the adhesion between stem cells and stromal cells or the extracellular matrix or interfere with traffic of stem cells through other tissues and blood.

10 In another preferred embodiment, the blocking agents used in the method according to this invention are not antibodies or antibody derivatives, but rather are soluble forms of the natural binding proteins for VLA-4. These blocking agents include soluble VCAM-1,
15 bifunctional VCAM-1/Ig fusion proteins, or VCAM-1 peptides as well as fibronectin, fibronectin having an alternatively spliced non-type III connecting segment and fibronectin peptides containing the amino acid sequence EILDV or a similar conservatively substituted
20 amino acid sequence. These blocking agents will act by competing with the stromal cell- or ECM-bound binding protein for VLA-4 on the surface of stem cells.

In this method according to the first aspect of the present invention, blocking agents are
25 preferably administered parenterally. The blocking agents are preferably administered as a sterile pharmaceutical composition containing a pharmaceutically acceptable carrier, which may be any of the numerous well known carriers, such as water,
30 saline, phosphate buffered saline, dextrose, glycerol, ethanol, and the like, or combinations thereof. Preferably, the blocking agent, if an antibody or antibody derivative, will be administered at a dose between about 0.1 mg/kg body weight/day and about
35 10 mg/kg body weight/day. For non-antibody or antibody

16

- 17 -
~~16~~

derivative blocking agents, the dose range should preferably be between molar equivalent amounts to these amounts of antibody. Optimization of dosages can be determined by administration of the blocking agents, followed by CFU-GM assay of peripheral blood, or assay of CD34⁺ cells in peripheral blood. The preferred dosage should produce an increase of at least 10-fold in the CFU-GM counts in peripheral blood.

In a second aspect, the present invention provides a method for peripheralizing hematopoietic stem cells that is far more effective than cytokine treatment alone. According to this aspect of the invention, the method comprises the step of administering a blocking agent of VLA-4 antigens on the surface of hematopoietic stem cells in combination with the step of administering a stimulating agent of hematopoietic stem cell proliferation in vivo. The step of administering a blocking agent of VLA-4 antigens on the surface of hematopoietic stem cells is carried out in exactly the same fashion that is described for the first aspect of the invention. The step of administering a stimulating agent of hematopoietic stem cell proliferation in vivo is preferably carried out through the administration of cytokines.

Preferred cytokines for stimulating hematopoietic stem cells to proliferate include granulocyte colony-stimulating factor (G-CSF), stem cell factor, totipotent stem cell factor (TSCF), stem cell proliferation factor (SCPF), granulocyte-macrophage colony-stimulating factor (GM-CSF), macrophage colony-stimulating factor (M-CSF), erythropoietin, interleukin-1, -2, -3, -4, -6, and -11. Most preferred are G-CSF, stem cell factor and GM-CSF, because all three of these are known to cause

17

- 18 -

proliferation of stem cells. The ability of G-CSF and GM-CSF to stimulate proliferation of progenitors is well established (see, e.g., Metcalf, Nature 339:27-30 (1989)), as is their ability to cause peripheralization of hematopoietic stem cells (see, e.g., Haas et al., Exp. Hematol. 18:94-98 (1990) and Blood 72:2074 (1988). This ability has also been established for stem cell factor (Andrews et al., Blood 80:920-927 (1992)). In addition, the enormous potential of these other cytokines identified herein has been recognized (see Rowe and Rapoport, J. Clin. Pharmacol. 32:486-501 (1992)). For purposes of this invention, stimulation of hematopoietic stem cells to proliferate can be carried out by any cytokine that is capable of mediating such proliferation in vivo. Thus, for purposes of this invention, any cytokine that can stimulate hematopoietic stem cells to proliferate in vivo is considered to be equivalent to G-CSF, stem cell factor and GM-CSF, which are also considered to be equivalent to each other. In addition, the use of chemotherapeutic agents alone can lead to the peripheralization of progenitors. Such agents can also be combined with VLA-4 blocking agents in the method according to the present invention.

In this method according to the second aspect of the invention, cytokines are preferably administered parenterally. The cytokines are preferably administered as a sterile pharmaceutical composition containing a pharmaceutically acceptable carrier, which may be any of the numerous well known carriers, such as water, saline, phosphate buffered saline, dextrose, glycerol, ethanol, and the like, or combinations thereof. Preferably, the cytokine, if G-CSF, will be administered at a dose between about 1 μ g/kg body weight/day and about 50 μ g/kg body weight/day, most

18

19
- 18 -

preferably at about 10-15 $\mu\text{g/kg}$ body weight/day. Most preferably, cytokines will be administered over a course of from about four to about ten days.

Optimization of dosages or the combination of cytokines
5 (e.g., G-CSF and kit ligand) can be determined by administration of the cytokine and administration of the blocking agents, followed by CFU-GM assay of peripheral blood. The preferred dosage should produce an increase of at least 5-fold in the CFU-GM counts per
10 milliliter of peripheral blood, compared with cytokines alone.

According to this aspect of the present invention, the step of administering a blocking agent of VLA-4 antigens on the surface of hematopoietic stem
15 cells or CD34^+ cells and the step of administering stimulating agents for proliferation of these cells can be carried out concomitantly or sequentially. In a preferred embodiment, the steps are carried out sequentially, preferably administering stimulating
20 agents of CD34^+ or hematopoietic stem cell proliferation being the first step.

In a third aspect, this invention provides an improved method of transplanting peripheral blood stem cells into a patient who has undergone chemotherapy or
25 radiotherapy for cancer. In this method, prior to the administration of chemotherapy or radiotherapy, stem cells are peripheralized from the patient's bone marrow by administration of an agent that mediates blocking of VLA-4 antigens on the surface of hematopoietic stem
30 cells and CD34^+ cells. The blocking agents used in this method are preferably selected from those blocking agents described in the discussion of the first aspect of the invention. This agent may be administered alone, or in conjunction with an agent that stimulates
35 proliferation of stem cells. The proliferation

19

20
- 18 -

stimulating agents optionally used in this method are preferably selected from those proliferation stimulating agents described in the discussion of the second aspect of the invention. The peripheralized stem cells are then collected from peripheral blood by leukapheresis. Stem cells are then enriched from the collected peripheralized blood by CD34 affinity chromatography such as immunoadsorption using anti-CD34 antibodies. Such stem cell enrichment is known in the art and has been described, for example, by Berenson, Transplantation Proceedings 24:3032-3034 (1992) and the references cited therein. Optionally, the enriched stem cells are then expanded ex vivo by culturing them in the presence of agents that stimulate proliferation of stem cells. This ex vivo expansion can be carried out using, alone or in combination, any of the proliferation stimulating agents described in the discussion of the second aspect of the invention. Such ex vivo expansion of CD34⁺ cells from peripheral blood is known in the art and has been described, for example, by Bruggar et al., Blood 81:2579-2584 (1993). Following administration of chemotherapy or radiotherapy, the enriched and optionally expanded stem cells are then returned to the patient's circulating blood and allowed to engraft themselves into the bone marrow.

The value of using peripheralized stem cells for transplantation after chemotherapy or radiotherapy for cancer is recognized in the art and has been described in numerous references, including Bensinger et al., Blood 81:3158-3163 (1993); Chao et al., 81:2031-2035 (1993); Kessinger and Armitage, Blood 77:211-213 (1991); Gale et al., Bone Marrow Transplantation 9:151-155 (1992); and Siena et al., Blood 74:1904-1914 (1989). The present method

20

21
- 20 -

according to the invention provides an improvement in the transplantation of stem cells from peripheral blood by increasing the concentration of such stem cells in the peripheral blood, thereby greatly improving the
5 likelihood of success of the transplantation.

In a fourth aspect, the present invention provides an improved method of transplanting purified peripheral blood stem cells into a patient who has undergone myeloablative chemotherapy or radiotherapy
10 for AIDS. This method involves the same steps as described for transplanting peripheralized stem cells into a patient who has undergone chemotherapy or radiotherapy for cancer. In addition, this method further optionally involves administration to the
15 patient of anti-HIV agents, such as antivirals such as AZT, soluble CD4, and CD4-directed blockers of the AIDS virus or antisense or antigene oligonucleotides, both before and after the return of the enriched and optionally expanded stem cells to the patient's
20 circulating blood. This step serves a "mopping up" function to prevent residual virus from infecting the progeny of the newly returned stem cells.

The myeloablative chemotherapy or radiotherapy will generally be expected to destroy any
25 cells in the blood that are infected by HIV. The "mopping up" step thus serves to remove any residual virus that otherwise could possibly infect the progeny of the stem cells transplanted into the patient after such therapy. Several agents can be useful in such a
30 "mopping up" step. For example, CD4-directed anti-HIV agents and analogs have been shown to prophylactically prevent infection of uninfected CD34⁺ cells by HIV. Similarly, anti-HIV oligonucleotides have been shown to prevent HIV infection of uninfected cells, for example
35 in U.S. Patent No. 4,806,463, the teaching of which are

21

22
- 21 -

hereby incorporated by reference. Such oligonucleotides have been shown to prevent virus escape for up to a 100 day test period. See Lisziewicz et al., Proc. Natl. Acad. Sci. USA 90:3860-3864 (1993).

5 Accordingly, this method according to the invention should provide a new therapeutic approach to AIDS.

In a fifth aspect, this invention provides an improved method for carrying out gene therapy in patients having any of a variety of genetic and
10 acquired diseases. In this method, stem cells are peripheralized from the patient's bone marrow by administration of an agent that mediates blocking of VLA-4 antigens on the surface of hematopoietic stem cells and CD34⁺ cells. The blocking agents used in
15 this method are preferably selected from those blocking agents described in the discussion of the first aspect of the invention. As in the method previously described herein, this agent may be administered alone or in conjunction with an agent that stimulates
20 proliferation of stem cells. The proliferation stimulating agent optionally used in this method is preferably selected from those proliferation stimulating agents described in the discussion of the second aspect of the invention. Peripheral blood is
25 then collected by leukapheresis. Stem cells are then enriched from the collected peripheral blood by immunoadsorption using anti-CD34 antibodies. Such stem cell enrichment is known in the art and has been described, for example, by Berenson, Transplantation
30 Proceedings 24:3032-3034 (1992) and the references cited therein. Optionally, the enriched stem cells are then expanded ex vivo by culturing them in the presence of agents that stimulate proliferation of stem cells. This ex vivo expansion can be carried out using, alone
35 or in combination, any of the proliferation stimulating

22

23
- 22 -

agents described in the discussion of the second aspect of the invention. Such ex vivo expansion of CD34⁺ cells from peripheral blood is known in the art and has been described, for example, by Bruggar et al., Blood 5 81:2579-2584 (1993). The enriched and optionally expanded stem cells are then infected with an amphotrophic retroviral vector, or other appropriate vector, that expresses a gene that ameliorates the genetic or acquired disease. Optionally, the vector 10 may also carry an expressed selectable marker, in which case successfully transduced cells may be selected for the presence of the selectable marker. The transduced and optionally selected stem cells are then returned to the patient's circulating blood and allowed to engraft 15 themselves into the bone marrow. The usefulness of approaches to using stem cells from peripheral blood for retroviral-mediated gene transfer and subsequent transplantation into a patient is recognized in the art and has been described, for example, by Bragni et al., 20 Blood 80:1418-1422 (1992). The present method according to the invention provides an improvement in the transplantation of stem cells from peripheral blood by increasing the concentration of such stem cells in the peripheral blood, thereby greatly improving the 25 likelihood of success of the retroviral transfection and subsequent transplantation and allows for repeated administration of genetically engineered cells in patients with partially ablative regimens and receiving agents that promote proliferation of transduced cells. 30 Such stem cell enrichment is known in the art and has been described, for example, by Berenson, Transplantation Proceedings 24:3032-3034 (1992) and the references cited therein.

The instant invention is useful for many 35 purposes. The methods of peripheralizing hematopoietic

23

24
- 23 -

stem cells or CD34⁺ cells is of value in scientific research dedicated to understanding the molecular interactions and molecular signals involved in the homing of these cells to bone marrow, as well as their trafficking in response to certain infections and trauma. This invention also provides sources of peripheral blood that is enriched in CD34⁺ and hematopoietic stem cells, thus making the methods of the invention useful for therapeutic applications involving autologous transplantation of these cell types following chemotherapy or radiotherapy or in the course of gene therapy. The present invention provides many advantages over the current exclusively cytokine-based techniques. For example, peripheralization can be obtained without risk of cytokine-induced cell differentiation of normal cells or proliferation of contaminating leukemia cells and can be combined with cytotoxic agents. In addition, in the method of the invention, the timing of the peak of progenitors in peripheral blood is consistently between about 24 and about 72 hours from first injection of antibody, thus making the most beneficial timing for leukapheresis more predictable.

The efficacy of specific embodiments of methods according to both aspects of the instant invention is demonstrated in the examples. According to the first aspect of the invention, monoclonal antibodies against VLA-4 were administered to both macaques and a baboon. These antibodies, mouse monoclonal HP1/2, have previously been described by Pulido et al., J. Biol. Chem. 266:10241 (1991), and are known to block VLA-4 antigen on various cell surfaces. In the present case, administration of these antibodies resulted in as much as a 80-fold increase (average of 40-fold) in CFU-GM present in peripheral blood. The

24

25
- 24 -

well known CFU-GM assay is the most widely used measure of the hematopoietic progenitor viability of a PBSC harvest and correlates well with per cent CD34⁺ cells present in peripheral blood (see Craig et al., Blood Reviews 6:59-67 (1992)). Thus, these results demonstrate that, in a primate, administering a blocking agent of VLA-4 antigen on the surface of hematopoietic stem cells and CD34⁺ cells results in peripheralization of the hematopoietic stem cells and CD34⁺ cells. These results should be applicable to humans as well.

According to the second aspect of the invention, monoclonal antibodies against VLA-4 were administered to a macaque after five days of treatment with G-CSF. It is well known that G-CSF can stimulate hematopoietic stem cells and CD34⁺ cells in vivo (see Metcalf, Nature 339:27-30 (1989)). G-CSF alone caused an increase in CFU-GM present in peripheral blood by days 4 and 5 of treatment. After discontinuation of G-CSF treatment and commencement of treatment with anti-VLA-4 antibodies, the number of CFU-GM in peripheral blood increased even more dramatically. It will be recognized by those skilled in the art that G-CSF alone does not cause the type of post-treatment increases in CFU-GM that were observed in the present case, as confirmed by a control experiment using G-CSF alone. Thus, these results demonstrate that, in a primate, administering a blocking agent of VLA-4 antigen on the surface of hematopoietic stem cells and CD34⁺ cells in combination with administering a stimulating agent for proliferation of these cells has a synergistic effect. There is no reason to believe that these results will not apply equally well to humans.

25

26
- 28 -

Although not wishing to be bound by theory, Applicant believes that administering a blocking agent of VLA-4 antigens on the surface of hematopoietic stem cells and CD34⁺ cells causes peripheralization of these
5 cells by mediating release of the cells from the marrow environment via disruption of interactions between VLA-4 and its microenvironmental ligands, such as fibronectin and/or VCAM-1 on stromal cells or in the ECM. Administering stimulating agents of hematopoietic
10 stem cell and CD34⁺ cell proliferation is believed to cause peripheralization at least in part via sheer increase in the numbers of these cells. Thus, it is believed that administering a blocking agent of VLA-4 antigens in combination with a stimulating agent of
15 stem cell proliferation effect peripheralization by complementary mechanisms. The observed synergistic effect between anti-VLA-4 antibodies and G-CSF supports this interpretation. In addition, the observed synergistic effect between anti-VLA-4 antibodies and
20 5-fluorouracil further confirms this interpretation. Since these mechanisms appear to be complementary, the observed synergistic effect should be observed, regardless of whether administration of the blocking agent of VLA-4 antigens and stimulation of
25 proliferation are carried out concomitantly or in sequence.

The following examples are intended to further illustrate certain preferred embodiments of the invention and are not intended to be limiting in
30 nature.

26

- 26 -
27

Example 1

Peripheralization Of Stem Cells
Using An Anti-VLA-4 Antibody

Three macaques and one baboon were injected
5 intravenously with anti-VLA-4 mouse monoclonal antibody
HP1/2 (1 mg/kg body weight/day) for four consecutive
days. At various time points during and after
completion of treatment, peripheral blood was collected
and mononuclear cells were collected using a
10 conventional Ficoll-Hypaque separation procedure.
Total white blood cells were calculated from the number
of mononuclear cells recovered per milliliter of blood.
CFU-GM and BFUe were determined according to
conventional assays (see, e.g., Papayannopoulou et al.,
15 Science 224:617 (1984)). The results of these studies
are shown for two macaques (panels A and C) and one
baboon (panel B) in Figure 1. These results
demonstrate that treatment of these primates with an
anti-VLA-4 monoclonal antibody causes a small increase
20 (up to 2-fold) in the total white blood cell count,
peaking at about 2 to 4 days after beginning of
treatment. More importantly, the total CFU-GM per ml
blood increased much more dramatically (about 40-fold),
also peaking at about 2 to 4 days after beginning of
25 treatment. In another macaque, a CFU-GM increase of
about 8-fold was observed after a single injection of
antibody (data not shown). Given the well established
use of the CFU-GM assay to measure the repopulating
potential of hematopoietic progenitors and the
30 correlation between CFU-GM and percentage CD34⁺, these
results establish that the anti-VLA-4 antibodies cause
peripheralization of stem cells.

27

- 27 -
28

Example 2

Failure of CD18 Blocking Agents to Cause
Peripheralization of Stem Cells

The antigen CD18 is present on stem cells and
5 is widely believed to be important in interactions
involving stem cells. To test whether blocking agents
for CD18 could cause peripheralization of stem cells,
another macaque was treated with a monoclonal antibody
against CD18. Antibody was delivered by intravenous
10 injection for three days at a dosage of 2mg/kg of body
weight/day. The results of this control experiment are
shown in Figure 2. Total white blood cell counts did
increase with this treatment, consistent with previous
experiments with rabbits. However, total GFU-GM showed
15 no increase after treatment with anti-CD18 monoclonal
antibodies. Thus, even though CD18 is widely believed
to be important in interactions involving stem cells,
blocking agents of CD18 do not lead to
peripheralization of stem cells or progenitor cells.
20 These results confirm that the peripheralization of
stem cells observed upon treatment with anti-VLA-4
monoclonal antibody was indeed due to specific blocking
of VLA-4.

Example 3

25 Synergistic Peripheralization Of Stem Cells
 Resulting From Treatment With Both
 Anti-VLA-4 Antibody In Combination With G-CSF

A baboon was treated with recombinant human
G-CSF twice daily for five consecutive days. Each
30 G-CSF treatment consisted of intravenous injection of
15 micrograms G-CSF per kilogram of body weight. After
the five days of G-CSF administration, the baboon
received two injections, spaced one day apart, of anti-
VLA-4 monoclonal antibody (HP1/2). Each injection

28

- 28 -
29

contained 1 milligram antibody per kilogram body weight. Total white blood cells and CFU-GM were determined as described in Example 1. The results are shown in Figure 3. As shown in panel A of that figure, G-CSF resulted in the expected increase in CFU-GM by days 4 and 5 of treatment, along with a marked increase in total white blood cells. Surprisingly, after the administration of anti-VLA-4 antibody beginning after the last day of a 5 day G-CSF treatment, yet another marked increase in CFU-GM was observed, this time without any increase in total white blood cells. This second increase resulted in about a six-fold improvement in the number of CFU-GM, relative to G-CSF alone. A control animal treated with G-CSF alone according to the same protocol showed a continuous decline in peripheral blood CFU after cessation of treatment (see figure 3, panel B). These results indicate that treatment with anti-VLA-4 antibody was responsible for this second increase in CFU-GM. Thus, combined treatment with anti-VLA-4 antibody and G-CSF results in a synergistic effect, causing far greater increases in CFU-GM than treatment by either G-CSF or anti-VLA-4 antibodies alone.

Example 4

Analysis Of High Proliferative Potential Cells In Peripheral Blood Following Combined Treatment With G-CSF And Anti-VLA-4 Antibody

In the experiments described in Example 3, high proliferative potential (HPP) cells were also counted. HPP cells are cells that give rise to colonies that are macroscopically visible, over 0.5 mm in diameter with dense, compact growth on the analysis grid. Presence of these cells is associated with greater repopulation capacity and such cells are believed to be earlier progenitors. The results are

29

- 29 -
30

shown in Fig. 4. The observed disparity in peripheral blood HPP cells between G-CSF treatment alone and G-CSF treatment in combination with anti-VLA-4 antibodies is even greater than the disparity observed for CFU-GM.

- 5 These results suggest that the combined treatment not only produces more progenitors, but also produces earlier progenitors having potentially greater repopulation capacity.

Example 5

10 Synergistic Peripheralization Of Stem Cells
 Resulting From Treatment With Anti-VLA-4
 Antibody In Combination With 5-Fluorouracil

A baboon was treated with the
chemotherapeutic agent 5-fluorouracil at a dosage of
15 100 mg per kilogram body weight. Beginning five days
later, the baboon received four injections, spaced one
day apart, of anti-VLA-4 monoclonal antibody (HP1/2).
Each injection contained one milligram antibody per
kilogram body weight. Total white blood cells and
20 CFU-GM were determined as described in Example 1. The
results are shown in Figure 6. As shown in panel B of
that figure, 5-fluorouracil alone produced a modest
increase in CFU-GM at days 11 and 12. Administration
of anti-VLA-4 antibody after the 5-fluorouracil,
25 however, resulted in a dramatic further increase in
CFU-GM, an increase of greater than ten times that
produced by 5-fluorouracil alone. These results
indicate that combined treatment with anti-VLA-4
antibody and 5-fluorouracil produces a synergistic
30 effect, causing far greater increases in CFU-GM than
treatment with either agent alone. Moreover, when
taken together with the G-CSF/ anti-VLA-4 antibody
results, these results strongly support the theory that
the observed synergism results from stimulation of
35 proliferation of progenitors by one agent and release

- 30 -
31

of the progenitors from the marrow by another. Thus, these results strongly suggest that such a synergistic effect can be produced by any agent that can stimulate proliferation, in conjunction with any agent that can
5 bring about release from the marrow.

Example 6

Preparation Of A Humanized Anti-VLA-4 Antibody

The complementarity determining regions (CDRs) of the light and heavy chains of the anti-VLA-4
10 monoclonal antibody HP1/2 were determined according to the sequence alignment approach of Kabat et al., 1991, 5th Ed., 4 vol., Sequences of Proteins of Immunological Interest, U.S. Department of Health and Human Services, NIH, USA. The CDRs of murine HP1/2 V_H correspond to
15 the residues identified in the humanized V_H sequences disclosed herein as amino acids 31-35 (CDR1), 50-66 (CDR2) and 99-110 (CDR3), which respectively correspond to amino acids 31-35, 50-65 and 95-102 in the Kabat alignment. The CDRs of murine HP1/2 V_K correspond to
20 the residues identified in the humanized V_K sequences disclosed herein as amino acids 24-34 (CDR1), 50-56 (CDR2) and 89-97 (CDR3), and to the same residues in the Kabat alignment. The Kabat NEWM framework was chosen to accept the heavy chain CDRs and the Kabat REI
25 framework was chosen to accept the kappa chain CDRs. Transplantation of the CDRs into the human frameworks was achieved by using M13 mutagenesis vectors and synthetic oligonucleotides containing the HP1/2 CDR-encoding sequences flanked by short sequences
30 derived from the frameworks. The V_H mutagenesis vector, M13VHPCR1 contains the NEWM framework and has been described by Orlandi et al., Proc. Natl. Acad. Sci USA 86:3833-3837 (1989). The V_K mutagenesis vector, M13VKPCR2 contains essentially the REI framework and is

31

- 31 -
32

identical to the M13VKPCR1 vector described by Orlandi et al., except that there is a single amino acid change from Val to Glu in framework 4. Transplanted product was recovered by PCR and cloned into M13mp19 for
5 sequencing. The transplanted V_H sequence [SEQ. ID NO:3] is shown in Figure 7, panel A. In addition to the CDR grafting, this product encodes the murine amino acids at positions 27-30 and an Arg to Asp change at position 94. The transplanted V_K sequence [SEQ. ID
10 NO:4] is shown in Figure 7, panel B.

Additional modifications were introduced via the two step PCR-directed mutagenesis method of Ho et al., Gene 77:51-59 (1989). For the V_H sequence, position 24 (Kabat numbering) was changed from Val to
15 Ala and position 75 (Kabat numbering) was changed from Lys to Ser, then amino acid positions 27-30 and 94 were mutated back to the NEWM sequences. The final humanized V_H sequence [SEQ. ID NO:5] is shown in Figure 8, panel A. For the V_K sequence, the same two
20 step PCR-directed mutagenesis approach was used to introduce additional modifications. The final humanized V_K sequence [SEQ. ID NO:6] is shown in Figure 8, panel B.

The entire V_H and V_K regions of humanized
25 HP1/2 were cloned into appropriate expression vectors. The appropriate human IgG1, IgG4 or kappa constant region was then added to the vector in appropriate reading frame with respect to the murine variable regions. The vectors were then cotransduced into YB2/0
30 ray myeloma cells (available from ATCC), which were then selected for the presence of both vectors. ELISA analysis of cell supernatants demonstrated that the humanized antibody produced by these cells was at least equipotent with murine HP1/2. The cell line expressing

32

33
- 32 -

this humanized antibody was deposited with the ATCC on November 3, 1992 and given accession number CRL 11175.

Example 7

5 Peripheralization Of Stem Cells Resulting From
Treatment With Humanized Anti-VLA-4 Antibody

Humanized anti-VLA-4 antibodies prepared according to Example 6 were tested for peripheralizing stem cells. The baboon model was used again with three daily antibody injections. The results are shown in
10 Figure 9. As previously shown for murine antibody, the humanized anti-VLA-4 antibody produces a large increase in peripheralized CFU. Thus, humanized VLA-4 antibodies are capable of causing peripheralization of stem cells and progenitor cells in the same manner as
15 the murine monoclonal antibody HP1/2. This result suggests that the humanized antibody may also be capable, like the monoclonal antibody, of acting synergistically in combination with G-CSF for peripheralizing stem cells.

20 Example 8

Peripheralization Of Stem Cells Resulting From
Treatment With Anti-VLA-4 Murine Fab Fragment

Fab fragments from the murine antibody HP1/2 were tested for their ability to peripheralize stem
25 cells and progenitor cells. The experiment was performed by administration of 1 mg/kg of Fab fragment twice daily for three days. In this instance, a modest effect (compared with humanized or monoclonal antibody) was observed, due to the rapid clearance of Fab
30 fragments. Though modest, the observed characteristic BFU-e increase validates this result. This result demonstrates that anti-VLA-4 antibody Fab fragments are capable of causing peripheralization of stem cells and

33

- 33 -
34

progenitor cells. This suggests that anti-VLA-4 Fab fragments may be capable of acting synergistically in combination with G-CSF for peripheralizing stem cells. In addition, since the Fab fragments are not known to
5 have any effector function other than binding antigen, this result suggests that any blocking agent that can bind VLA-4 and thereby block its interaction with VCAM-1 will be capable of peripheralizing stem cells, and in doing so, of acting synergistically with factors
10 that promote stem cell proliferation.

34